The 6p 3612



In re application of Thomas ZIRBS et al.

Appln. No.: 10/553,089

Confirmation No.: 4791

Filed: 10/11/2005

Art Unit: 3612

For: METHOD FOR MOUNTING A ROOF MODULE ON THE BODYWORK OF A VEHICLE

Attorney Docket No.: 3926.214

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)
I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA-32313-1450 on September 19, 2006.

Youghong Chen

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

**Mail Stop Amendment** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report (ISR) are provided herewith.

- 1. DE 197 09 016 A1
- 2. DE 100 48 130 A1
- 3. US 6,470,559 B1
- 4. English abstract of JP 2001074114 A

{WP335376;1}

Application No: 10/553,089
Information Disclosure Statement

Attorney Docket No: 3926.214

Documents 1-3 are cited in the ISR. Document 1 is also mentioned in the background of

the specification. A concise explanation of relevancy for each non-English document is provided

below:

Document 1

It is indicated in the ISR that the abstract, claim 10, Figs. 1-6, 16, and column 7, lines 20-

54 of Document 1 are "A" category relevant to claim 1 of the corresponding PCT application.

Document 2

It is indicated in the ISR that the abstract, the figures, and column 1, lines 5-

60 of Document 2 are "A" category relevant to claim 1 of the corresponding PCT application.

The present Information Disclosure Statement is being filed within three months of the

filing date of the instant application or before the mailing date of the first Office Action on the

merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is

required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner

{WP335376;1}

- 2 -

Application No: 10/553,089 Information Disclosure Statement

Attorney Docket No: 3926.214

and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Date: September 19, 2006

Respectfully submitted,

Yonghong Chen

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PTO/SB/08A (07-05)
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nd to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

Under the Paperwork Reduction Act of 1995, no

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/553,089	
Filing Date	10/11/2005	
First Named Inventor	Thomas ZIRBS	
Art Unit	3612	
Examiner Name		
Attorney Docket Number	3926.214	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ļ	Number-Kind Code <sup>2</sup> (f known)	<del>                                     </del>	0 11:	
		<sup>US-</sup> 6,470,559 B1	10-29-2002	Spaulding et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ,
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) DE 197 09 016 A1	09-10-1998	Rockwell International GmbH		
		DE 100 48 130 A1	04-11-2002	Adam Opel AG		
		JP 2001074114 A	03-23-2001	KOYO SEIKO CO LTD		
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Examiner	Date	•
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.